TRANSMITTA -	AL OF INFORMA (Under 37 CF)		cket No. 457/15					
In Re Application Of: ASHWOOD SMITH, Peter J., et al.								
Application No.	1							
10/017,509	December 18, 2001	NGUYEN, Chau T.	27,872	2661				
Title: RESYNCH	RONIZATION OF C	ONTROL AND DATA PATH	STATE FOR NE	TWORKS				
		Address to: Commissioner for Pate P.O. Box 1450 Alexandria, VA 22313-1						
		37 CFR 1.97(b)						
of a natio three mor application	nal application other oths of the date of en n; before the mailing	atement submitted herewith is than a continued prosecution stry of the national stage as so of a first Office Action on the o est for continued examination of	application und et forth in 37 CF nerits, or before	der 37 CFR 1.53 R 1.491 in an in the mailing of a	B(d); within ternational			
		37 CFR 1.97(c)						
CFR 1.97 Final Acti	2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:							
☐ the	statement specified i	n 37 CFR 1.97(e);						
		OR						
☐ the	the fee set forth in 37 CFR 1.17(p).							

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))						cket No. 457/15		
In Re Application: ASHWOOD SMITH, Peter J., et al.								
Application No.	Filing Date	Group Art Unit	Confirmation No.					
10/017,509	December 18, 2001	NGUYEN, Ch	au T.	27,872	2661			
RESYNCH	RESYNCHRONIZATION OF CONTROL AND DATA PATH STATE FOR NETWORKS							
	(Only con	Payme	ent of Fee	fee set forth in 37	CFR 1.17(p))			
as describe Ch Crefific	as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. Certificate of Mailing by First Class Mail I certify that this document and fee is being deposited on with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.							
	Signature			Signature of Per	son Mailing Correspo	ondence		
Typed of A	Printed Name of Person Sig	ning Certificate	Тур	ed or Printed Name	of Person Mailing Co	ertificate		
*This certificate may only be used if paying by deposit account. Signature John R.S. Orange Registration Number 29,725 Agent for Applicant BLAKE, CASSELS & GRAYDON, LLP 199 Bay Street, Suite 2800, Commerce Court West Toronto, Ontario, Cananda, M5L 1A9 Tel. 416-863-2400, Fax 416-863-2653								





ATTORNEY DOCKET NO.: CUSTOMER NUMBER:

64457/15 000027871

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In repatent application of:

ASHWOOD SMITH, Peter J., et al.

Serial No.: 10/017,509

Group Art Unit:

2661

Filed:

December 18, 2001

Examiner:

NGUYEN, Chau T.

Title:

RESYNCHRONIZATION OF CONTROL AND DATA PATH STATE FOR

NETWORKS

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty to disclose under 37 CFR §1.56, Applicant submits herewith a Form PTO/SB/08A listing references of which the Applicant is aware and which are brought to the attention of the Examiner. In accordance with 37 CFR §1.98(a)(2), a copy of each document listed in the enclosed Form PTO/SB/08A is submitted herewith, excluding U.S. patent references.

U.S. patent references are not enclosed pursuant to the Office's waiver (published in the Official Gazette – August 5, 2003) of such requirement for all U.S. national patent applications and for all international applications that have entered the national stage under 35 USC 371 filed after June 30, 2003.

The filing of this IDS shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material for patentability,

or that no other material information exists. This filing shall not be construed as an admission against interest in any matter.

This IDS is submitted pursuant to 37 CFR §1.97(b) and, accordingly, no fee is believed to be due for consideration of the documents submitted herewith.

Applicant respectfully requests consideration of the items listed and requests the Examiner to return a copy of the attached Form PTO/SB/08A after being marked as being considered by the Examiner.

Date:

John R.S. Orange Registration No. 29,725

Respectfully submitted,

Agent for Applicant

BLAKE, CASSELS & GRAYDON LLP 199 Bay Street Suite 2800, Commerce Court West Toronto, Ontario Canada M5L 1A9

Tel 416-863-2400 Fax 416-863-2653

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Complete if Known Substitute for form 1449/PTO Application Number 10/017.509 Filing Date December 18, 2001 INFORMATION DISCLOSURE First named Inventor ASHWOOD SMITH, Peter J. STATEMENT BY APPLICANT Art Unit 2661 **Examiner Name** NGUYEN, Chau T. (Use as many sheets as necessary) **Attorney Docket Number** 64457/15 2 Sheet

	U.S. PATENT DOCUMENTS					
Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*	No. ¹	Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
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FOREIGN PATENT DOCUMENTS							
Cite	te Foreign Patent Document		Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant		
No.1	Country	Code ³ Number ⁴	Kind-Code ⁶ (# known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶
	wo	02/071690	Α	09-12-2002	MERITON NETWORKS		
	EP	1 134 922	Α	09-19-2001	LUCENT TECHNOLOGIES INC.		
		No.1 Country	Country Code ³ Number ⁴ WO 02/071690	Cite No.1 Foreign Patent Document	Cite No.1 Foreign Patent Document Publication Date MM-DD-YYYY Country Code ³ Number ⁴ Kind-Code ⁴ (r known) MM-DD-YYYY WO 02/071690 A 09-12-2002	Cite No.1 Foreign Patent Document Publication Date MM-DD-YYYY Name of Patentee or Applicant of Cited Document WO 02/071690 A 09-12-2002 MERITON NETWORKS INC. EP 1 134 922 A 09-19-2001 LUCENT TECHNOLOGIES	Cite No.1 Foreign Patent Document Publication Date Name of Patentee or Applicant of Cited Document Passages or Relevant Passages or Relevant Passages or Relevant Figures Appear WO 02/071690 A 09-12-2002 MERITON NETWORKS INC. EP 1 134 922 A 09-19-2001 LUCENT TECHNOLOGIES

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known				
Application Number	10/017,509			
Filing Date	December 18, 2001			
First named Inventor	ASHWOOD SMITH, Peter J.			
Art Unit	2661			
Examiner Name	NGUYEN, Chau T.			
Attorney Docket Number	64457/15			

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalogue, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	τ²
		KO, ET AL. "A local map based (LMB) self-healing scheme for arbitrary topology networks." Global Telecommunications Conference, 1997. Globecom '97, IEEE Phoenix, AZ, USA, Nov. 3-8 1997, Pp. 1393-1397.	
		VEITCH, ET AL. "Restoration strategies for future networks." Electronics and Communication Engineering Journal, Institutions of Electrical Engineers, London, GB. Vol. 7, No. 3, June 1, 1995, Pp. 97-103.	

Examiner		Date	
Signature		Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicants' unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C> 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.